

**UNITED STATES DISTRICT COURT  
DISTRICT OF MINNESOTA**

---

Ferris, Baker Watts, Inc.,

Plaintiff,

vs.

Deutsche Bank Securities Ltd., et al.

Defendants.

**Notification of Conventional Filing of  
Nomura Securities International,  
Inc.'s Memorandum in Opposition to  
E\*Trade Securities, LLC's and  
Ferris Baker Watts, Inc.'s Motion to  
Exclude the Expert Testimony of  
H. Stephen Grace, Jr.**

Civil No. 02-3682 RHK/AJB

---

This document is a place holder for the following item(s) which are filed in conventional or physical form with the Clerk's Office:

**Nomura Securities International, Inc.'s Memorandum in Opposition to E\*Trade Securities, LLC's and Ferris Baker Watts, Inc.'s Motion to Exclude the Expert Testimony of H. Stephen Grace, Jr.**

If you are a participant in this case, this filing will be served upon you in conventional format.

This filing was not e-filed for the following reason(s):

Voluminous Document\* (Document number of order granting leave to file conventionally: \_\_\_\_ )

Unable to Scan Documents (PDF file size larger than the e-filing system allows)

Physical Object (description):

Non Graphical/Textual Computer File (audio, video, etc.) on CD or other media

Item Under Seal\* (Document number of protective order: 226)

Conformance with the Judicial Conference Privacy Policy (General Order 53)  
(Document number of redacted version: \_\_\_\_ )

Other (description):

\* Filing of these items requires Judicial Approval.

This Notice is e-filed as a place holder in ECF for the documents filed conventionally. A copy of this Notice and a copy of the NEF are filed with the Clerk's Office along with the conventionally filed item(s).